

# Account Fee Schedule

Effective 7/15/2024

## 1 ACCOUNT ESTABLISHMENT FEES

**Account Set-up:**

Online Application	\$50
Paper Application	\$100

## 2 ANNUAL FEES Choose ONE of the following options\*

OPTION 1: Fee Based on Number of Assets		OPTION 2: Fee Based on Total Portfolio Value	
ASSET TYPE	ANNUAL FEE (PER ASSET)	TOTAL PORTFOLIO VALUE	ANNUAL FEE
Real Estate, LLCs, Private Placements, Notes	\$350	\$0 - \$44,999	\$350
Futures/Forex (per FCM)**	\$350	\$45,000 - \$59,999	\$425
Precious Metals (storage fees separate)	\$350	\$60,000 - \$89,999	\$500
Mortgage Payable**	\$350	\$90,000 - \$124,999	\$700
Crypto**	\$350	\$125,000 - \$249,999	\$950
Private Stock	\$350	\$250,000 - \$499,999	\$1,250
Traditional Brokerage/Bank Account	\$100	\$500,000 - \$749,999	\$1,650
Cash Only Account***	\$100	Over \$750,000	\$1,850

\*If no selection is made, the account will default to Option 1

\*\*Any account holding a mortgage payable, futures, forex, and crypto is not eligible for Option 2

\*\*\*Only applicable for asset-based fee accounts with no assets and no buy/sell activity for the previous 12 months

## 3 SPECIAL SERVICES FEES

Transaction Fees		Service Fees	
Real Estate Purchase / Sale / Re-Registration	\$200 each	Distribution ( <i>\$25 for paper submissions, check and wire fees apply where applicable</i> )	Free
Precious Metals / Trading / Bank Accounts	\$50 each	Paper Statement Fee ( <i>Avoided by enrolling in eStatement</i> )	\$5 each
All Other Asset Purchase / Sale / Re-Registration	\$125 each	Special Notification / Research Fee ( <i>Matured Notes, Late Fair Market Valuation, Valuation Research, Late Documentation</i> )	\$75 each
Capital Call / Additional Fundings / Trade Acct. Withdrawals ( <i>\$50 for paper submissions</i> )	\$25 each	Late Fees	
Funds Transfer Fees		Late Fee	\$20 monthly
Wire Transfer Fee (Domestic & International)	\$30 each	Termination Fees	
ACH Transfers ( <i>\$10 for paper submissions</i> )	\$5 each	Full Termination Fee	\$250
Check Deposits	\$5 each	Partial Transfer Out	\$50 each
Overnight Mail	\$50 each	<b>MINIMUM CASH BALANCE:</b> Accounts must keep a minimum balance of \$250 undirected cash at all times. We reserve the right to not process transactions that will result in a cash balance below \$250.	
Trust Checks ( <i>\$10 for paper submissions, Free for initial asset purchases</i> )	\$5 each		
Cashier's Check, Certified Mail, Stop Payment, Return Check/ACH	\$30 each		
Service Fees			
Rush Transactions	\$150 each		

## 4 PAYMENT INFORMATION Select how you would like to pay your annual fees

<b>OPTION 1: Deduct From My Account</b> ( <i>Default option if no payment selection is made</i> )	<b>OPTION 2: Charge My Credit Card</b> ( <i>Future charges will default to the card provided during account application process</i> )
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**Billing Cycle:** Annual fees will be assessed and due upon initial account opening, initial asset acquisition, and annually on the 1<sup>st</sup> day of your billing cycle. Your billing cycle is defined as a 12-month period from day 1 of the month of your initial account opening. For example, if your account was initially opened on February 14<sup>th</sup>, your billing cycle would be February 1<sup>st</sup> through January 31<sup>st</sup>. Fees for asset purchases made after the third quarter of your billing cycle may be adjusted accordingly. Fees for assets sold, transferred, or otherwise removed prior to the end of your billing cycle will not be pro-rated and/or refunded. Fees paid from your account will be reflected on your account statement.

**Past Due Fees:** Fees that remain unpaid for more than 30 calendar days are considered past due and subject to a late charge of \$20 per month. After the 30 day notification we reserve the right to deduct from your undirected cash or liquidate assets. Please see your accounts custodial agreement for full details. Accounts with past due fees will be closed. Any remaining assets will be distributed to the account holder, creating a taxable event reported to the IRS. Reinstatement eligibility is at Equity's discretion. To reinstate, all past due fees, late charges, and a \$250 reinstatement fee must be paid.

## 4 PRINT, SIGN, AND RETURN TO EQUITY INSTITUTIONAL SERVICES

Signature	Date
Printed Name	